STATE-OF-THE-ART REVIEW

Point-of-Care Technologies for Precision Cardiovascular Care and Clinical Research

National Heart, Lung, and Blood Institute Working Group

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SUMMARY

Point-of-care technologies (POC or POCT) are enabling innovative cardiovascular diagnostics that promise to improve patient care across diverse clinical settings. The National Heart, Lung, and Blood Institute convened a working group to discuss POCT in cardiovascular medicine. The multidisciplinary working group, which included clinicians, scientists, engineers, device manufacturers, regulatory officials, and program staff, reviewed the state of the POCT field; discussed opportunities for POCT to improve cardiovascular care, realize the promise of precision medicine, and advance the clinical research enterprise; and identified barriers facing translation and integration of POCT with existing clinical systems. A POCT development roadmap emerged to guide multidisciplinary teams of biomarker scientists, technologists, health care providers, and clinical trialists as they: 1) formulate needs assessments; 2) define device design specifications; 3) develop component technologies and integrated systems; 4) perform iterative pilot testing; and 5) conduct rigorous prospective clinical testing to ensure that POCT solutions have substantial effects on cardiovascular care. (J Am Coll Cardiol Basic Trans Sci 2016;1:73–86) © 2016 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).
The prevention and management of cardiovascular (CV) disease increasingly demands effective diagnostic testing. Consensus defines a diagnostic as a method and an associated device that performs a physical measurement from a patient or associated biological sample and produces a quantitative or descriptive output, known as a biomarker. The definition of a biomarker, in turn, encompasses “a characteristic that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes, or pharmacologic responses to a therapeutic intervention” (1).

Diagnostics, because of their strategic position at the intersection between patients and their clinically actionable data, directly affect the patient experience and the quality of care that individuals receive (Figure 1). They also furnish valuable tools for clinical investigation. Diagnostics enable providers to improve upon “one-size-fits-all” treatment strategies and instead provide personalized care on the basis of factors such as genetic makeup, comorbidities, real-time serologic assessments, and responses to therapy.

Historically, during the days of “house calls,” diagnostic testing relied primarily on physical examination and bedside analysis of urine (2). As methods for biochemical and cellular biofluid analysis advanced, the portfolio of available tests expanded and central laboratories emerged to standardize sample acquisition and measurement quality while offering economies of scale (3). Today, technology is expanding the number of diagnostic tests that can reach beyond the walls of centralized laboratories and back to the point of care (POC) for use across a broad range of clinical settings. Yet, despite the intuitive appeal of miniaturization and immediate test resulting, point-of-care technologies (POCTs) face important practical questions about their integration into clinical workflows, objective measurement of clinical benefit, standards necessary to ensure quality despite decentralization, and what reimbursement models will engender mutual enthusiasm by payers and providers.

POCTs promise to provide high-quality biomarker measurements optimized for the special constraints of diverse clinical settings including acute care, outpatient clinics, clinical research centers, homes, rural areas, and the developing world (Figure 2). In acute care settings such as the operating room (OR), cardiac catheterization suite, intensive care unit (ICU), or emergency room (ER), physicians seek real-time feedback to optimize care and tailor therapies to the dynamic circumstances they confront. In outpatient clinics, providers look for opportunities to replace reactive medicine with prevention, and to implement “precision medicine,” a national initiative that includes mobile and personal technologies as key components (4). In the home, care teams seek minimally invasive devices that seamlessly integrate health monitoring into daily living. The hope is that longitudinal measurements of home health will supplement episodic clinic visits and transform outpatient care into a data-driven practice. Independent of their health care providers, the public is adopting diverse POC-like self-tracking devices such as sleep monitors, Wi-Fi-connected scales, blood pressure cuffs, finger-stick blood tests, and wearable wristbands and watches linked to cloud storage, analytics, and opportunities for sharing. The degree to which such technologies will improve health care delivery and clinical outcomes remains hotly debated. Ultimately, only rigorous testing will determine their actual clinical utility.

In clinical research, POCTs can expand quantitative data collection to broader populations. By fostering inclusion of under-represented groups in rural areas and the developing world often beyond the reach of traditional clinical trials, POCTs promise to improve the generalizability of study results (5–7).

The National Heart, Lung, and Blood Institute (NHLBI) convened a working group (WG) to examine the translation of CV POCT to precision medicine and clinical research (8). The meeting aimed to provide guidance to the NHLBI regarding the development, evaluation, and dissemination of high-impact POCT in research and treatment. This report summarizes and expands upon the WG discussions by: 1) describing examples of how POCT can address some of the most commonly faced problems in CV disease management; 2) identifying barriers and challenges...
to clinical translation; 3) calling for rigorous clinical testing and validation before integrating new POCTs into routine clinical care; and 4) outlining a POCT development roadmap that articulates specific recommendations to guide NHLBI research priorities.

**IPOC EXAMPLES, CHALLENGES, AND OPPORTUNITIES**

**POCT IN ACUTE CARE SETTINGS.** Practitioners in acute care settings such as the ER, OR, ICU, hemodialysis unit, or cardiac catheterization suite face highly dynamic situations. Real-time POCT promise to improve patient care in these environments by supplying data rapidly to support decision-making, as illustrated in the following examples.

**Example 1: rapid evaluation of ER patients with chest pain—“rule out myocardial infarction”**. In ambulances and ERs, POCT can improve the efficiency of care by enabling rapid assessment and triage of patients with chest discomfort. Cardiac troponin (cTn), a highly sensitive and specific biomarker of myocardial injury, guides triage and management of patients presenting with symptoms suggestive of acute coronary syndrome (9). ERs already use commercial POC cTn assays, but parallel efforts are exploring whether central laboratory cTn assays can perform serial measurements at progressively shorter intervals to discriminate cardiac from noncardiac causes of chest discomfort and enable rapid patient triage. Historically, stable serial measurements of cTn taken at 6- to 12-h intervals served to “rule out” cardiac injury (10,11). More recently, high-sensitivity cTn assays, available only in the central laboratory, permit exclusion of clinically important myocardial injury with high confidence at initial sampling as well as after only 2 serial measurements performed at 1- to 2-h intervals (12–15). POC devices that can match this performance without sending samples to a central laboratory may become mainstream frontline CV diagnostics (Figure 3A).

**Example 2: management of bleeding and clotting risks.** The quandary of balancing the risks of bleeding and clotting concerns practitioners of many specialties. Clot formation involves complex interactions among coagulation factors, platelets, and tissues (16). Surprisingly, a limited number of coagulation diagnostics guide routine outpatient and inpatient management (17,18). Central laboratories typically measure 2 key coagulation parameters: prothrombin time and activated prothromboplastin time. Yet, delays of ~1 h limit the utility of central laboratory measurements for acute care settings such as the ICU or OR, where thrombotic risk can vary moment to moment due to administration of anticoagulant boluses and pharmacological reversal agents (19–21). In these settings, activated clotting time (ACT), a whole blood measurement that integrates intrinsic and extrinsic coagulation with platelet function, commonly serves to quantify thrombotic potential (21). In the case of ACT measurements, procedural technicians, within steps of patient and proceduralist, perform POC testing independent of the central laboratory. This example illustrates the feasibility of integrating real-time POC diagnostics into acute clinical workflow (Figure 3B).

Platelet function complements coagulation in regulating thrombotic risk. Yet, despite extensive studies of platelet function assays in both central laboratory and POC formats, questions remain regarding their incremental benefits. Measures of platelet function do identify populations at higher risk of thrombotic events, but the demonstration that therapy guided by such assays improves outcomes has proven elusive (22–24). This apparent paradox underscores the need to subject any POC diagnostic,
no matter how plausible, to rigorous research to evaluate its efficacy and added value. Coagulation and platelet function biomarkers exhibit variability and context-dependence, adding complexity to their clinical use (25,26). POC diagnostics offer the potential to capture these variations through more frequent measurement, but whether doing so substantially and cost-effectively improves outcomes will require additional research (Figure 4).

Exploratory thrombosis assays aim to complement existing assays of thrombotic risk. Examples include clot relaxation (27) or thromboelastography (16), which evaluate viscoelastic properties of clot formation. Although such assays were initially considered to be too complex for routine clinical use, recent POC adaptations of these measurements aim to improve usability (18,28).

**Example 3: future acute care POC assays.** Reliable detection of thrombosis presents a diagnostic challenge. Available POC devices can measure thrombosis serum biomarkers such as D-dimer, which notoriously lacks specificity. Often, acute D-dimer elevation due to thrombosis cannot be distinguished from chronic elevation related to comorbid conditions. Instead, modern diagnosis of deep vein thromboses and pulmonary emboli relies primarily on imaging modalities such as ultrasound or contrast chest computed tomography, respectively. Recently, exogenous “synthetic biomarkers” were engineered to supplement endogenous biomarkers and enable more flexible remote monitoring of thrombosis. In concept, an intravascular nanoparticle-conjugated peptide, when cleaved by activated thrombin, liberates a peptide fragment that undergoes renal clearance detectable in the urine centrally or by POC platforms, such as novel paper-based microfluidic assays (29–31) (Figure 5A). Similar synthetic biomarker strategies are under development for a broad range of analytes.

In principle, continuous biomarker monitoring would provide the most complete picture of an individual’s physiological state. Historically, the ability to measure continuously physicochemical biomarkers such as blood pressure, pulse, electrocardiogram, respiration rate, and oxygen saturation revolutionized critical care and substantially improved the safety of general anesthesia. Continuous vital sign measurements have become the standard of care for periprocedural CV monitoring; however, efforts to engineer continuous blood biomarker measurement platforms that monitor “biomolecular vital signs” presents more challenging problems, whose solutions are only nascent (32). The most notable clinically relevant analyte adapted for continuous measurement is glucose. Glucose is readily detected by diverse electrochemical-sensing platforms coupled to an immobilized enzyme (glucose oxidase); yet, the lack of broadly available analyte-enzyme pairs limits the generalizability of this approach. More recently, reversible affinity sensors have promised to expand the portfolio of analytes subject to continuous monitoring. For example, a microfluidic device containing a sensing surface functionalized with nucleic acid-based aptamers can reversibly bind corresponding analytes. The fluidic device directs blood across the planar sensing surface separated by a layer of buffer solution (Figure 5B) such that only biomolecules below a critical

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**FIGURE 3 Dynamic Biomarkers for POCT Measurement**

(A) In the emergency room, high-sensitivity troponin assays resolve small differences in troponin levels to rule out clinically important myocardial injury after serial measurements performed at increasingly short time intervals. (B) In the cardiac catheterization laboratory and the cardiac surgery operative room, activated clotting time (ACT) measurements are used to follow the dynamics of patient coagulation.
molecular weight can diffuse across the buffer layer and encounter the sensing surface. The reversible binding of analytes to their corresponding immobilized aptamer then generates an electrochemical signal proportional to blood analyte concentration (33). The flexibility of such platforms is expanding as the number of available analyte-aptamer pairs grows. This example also illustrates the exciting potential enabled by integrated microfluidic platforms, which operate at flow rates less than microliters per minute, and can in theory enable continuous blood draws over hours and days while keeping total blood volumes below that of a single conventional blood draw.

**POCT IN AMBULATORY SETTINGS.** In outpatient clinics, the brevity of the patient visit rather than the dynamics of the physiological state provide the motivation for POCT diagnostic testing. Patients frequently have blood drawn for diagnostic testing after a clinic visit. Unfortunately, the ad-hoc follow-up discussions of testing results can lead to undesirable breaks in patient-provider communication. A current movement calling for more diagnostic testing in the clinic aims to resolve such inefficiencies. POCT diagnostic platforms in development aim to answer these challenges by enabling measurement of existing biomarkers as well as fundamentally new biomarkers that can enhance the outpatient practitioner’s diagnostic toolkit.

**Example 1: miniaturization and mobilization of existing laboratory diagnostics.** The earliest examples of POC diagnostics aimed to miniaturize and make portable the measurement of established biomarkers such as the complete blood count or basic metabolic panel. Yet, clinical use of such POC diagnostics has not kept pace with the number of commercially available testing platforms. Instead, there is continued reliance on central laboratories, which likely points to challenges presented by new POCT, including cost, uncertain reimbursement, requirements for calibration with legacy central laboratories, standardization of testing procedures, verification of testing expertise, maintenance of the decentralized testing equipment and procurement of disposables, and establishment of good data management practices including security and privacy. Despite these challenges, miniaturized and mobilized versions of existing diagnostic tests, being the first POCT diagnostics to enter clinical CV care and research, will likely serve as vehicles for addressing these challenges.

**Example 2: personalized CV care using nucleic acid assays.** Whether nucleic acid-based assays should be adapted to POC formats remains an area of ongoing investigation. Deoxyribonucleic acid (DNA)-based diagnostics have enjoyed success in oncology because particular DNA mutations inform therapy efficacy. Similarly, in infectious disease, detection of the DNA from an invading pathogen carries clear diagnostic information. Cardiologists, however, have used DNA diagnostics primarily for monogenic conditions. One exception is the assessment of rejection of transplanted hearts, where cell-free (cf) DNA sequences can selectively detect donor heart damage. Indeed, circulating donor cfDNA levels correlate with episodes of acute rejection as determined by invasive endomyocardial biopsy (34,35). Detection of donor cfDNA enabled prospective noninvasive diagnosis of acute rejection with sensitivity and specificity comparable to the biopsy alone (35). A similar cfDNA sequencing approach examined the evolving pathogen landscape in heart transplant recipients in response to changes in their immunosuppressant and antiviral regimens (36). Determining the utility of POCT genomic assays for CV transplant rejection or infection assessment will require additional research.

RNA, in contrast to DNA, can change dynamically during disease, making it an attractive biomarker for next-generation CV diagnostics. The complex and multifactorial nature of CV diseases has motivated exploration of transcriptional profiling. One approach uses a 23-gene expression assay platform on the basis...
of microfluidics and dehydrated primers, which measures gene expression fingerprints from circulating cells \( (37,38) \) to provide negative predictive power to limit the need for more elaborate CV testing. The assay, which in its current instantiation is still far from POC, requires shipment of samples to a central facility. Nonetheless, this early example demonstrates the feasibility of using gene expression fingerprinting as a discriminatory CV biomarker.

**Example 3: next-generation integrated diagnostics platforms.** In addition to soluble proteins and cell-based or cell-free nucleic acids, emerging biomarkers such as rare circulating cells, mRNA, and exosomes, and their contents hold diagnostic promise \( (39–42) \). Platforms based on microtechnology and nanotechnology can capture rare analytes from crude patient samples, fractionate specimens, and quantify biomarkers using signal amplification and integrated detection schemes.

Microtechnology uses devices with dimensions on the order of the thickness of a human hair. Built using fabrication techniques originally developed for the microelectronics industry and extended to microelectromechanical systems, these devices are ideally suited for POC handling and analysis of small volumes of complex biological fluid specimens such as blood or urine \( (43,44) \). Fabrication in transparent biocompatible polymers renders these devices compatible with conventional optical detectors. Engineers have developed increasingly powerful integrated fluid handling components that now enable dense arrays of highly efficient pumps and valves to precisely control movement of fluids, solutes, and cells \( (45,46) \). This powerful toolkit has enabled the design of diagnostic devices that perform a variety of functions, including particle and cell sorting, rare cell capture, and massively parallel and sequential biochemical reactions \( (41) \). The design flexibility enabled by microtechnologies offers broad utility for the development of POC devices. Defining clear diagnostic problems in CV medicine that can harness the creativity of this community holds great potential.

Nanoscale devices have a length scale 3 orders of magnitude smaller than that of microfabricated devices. Nanoparticles are key components of these technologies. Among the many ways to detect nanoparticles, strategies on the basis of magnetic properties or surface plasmon resonance represent particularly elegant examples \( (47–49) \). Extension of the size scale of particles can allow sensing by commercially available detectors such as smartphones. For example, in an application requiring counting of cell subsets, investigators bathed biological samples in microbeads conjugated to cell-specific antibodies and used the diffraction pattern of cells decorated with antibody-conjugated beads to identify and count the cell population of interest using a custom dongle attached to a commercially available smartphone \( (50) \). Several excellent reviews describe these technologies in further detail and describe examples of additional POC applications \( (5) \).
POCT IN THE HOME. Patients spend <1% of their lives interacting with the health care system in the traditional sense. They spend the remaining >99% in the outside world, “at home.” Innovative technologies such as the Internet of Things, wearable devices, mobile communication devices, and social networks promise to improve fundamentally our understanding of human health and transform the home into the next frontier of outpatient medicine.

Outpatient clinical visits frequently begin with open-ended questions such as “have you been taking care of yourself at home?” This question calls on patients to summarize months of post-prandial glucose levels and blood pressure measurements, as well as adherence to recommended exercise, diet, or prescription medications. The availability of more objective and quantitative data can paint a dynamic and unbiased picture of home health across time. Balancing the desire to inform but not overburden providers with extraneous or unactionable information will require thoughtful data synthesis and analytics to traverse efficiently the voluminous data. Third-party disease management businesses may serve as intermediaries. Nevertheless, successful navigation of the “big data” problem will transform the home into an informative lens through which one can observe patient health.

The following examples demonstrate the breadth of home health monitoring devices currently available or in development. Together, these technologies promise to create a more comprehensive picture of patient health and behavior that can complement patient self-reporting during in-person health care visits.

Example 1: self-testing POC diagnostics. Blood glucose testing remains one of the oldest and most widely accepted POC applications. Yet, despite substantial investment and widespread use, few sufficiently powered studies have examined the clinical utility and cost-effectiveness of glucose self-monitoring (51,52). Meta-analyses estimate glycated hemoglobin declines of 0.22% to 0.40% in patients using blood glucose self-monitoring compared with control subjects (52,53). Although these studies did not directly evaluate the effect on clinical outcome, this magnitude of reduction associates with a significantly reduced risk of microvascular complications in other clinical trials (54). Studies that involved the inclusion of glucose self-monitoring as a component of a structured therapeutic management program, including education and follow-up, yielded the greatest improvements in outcomes (55).

Monitoring of anticoagulation in warfarin-treated individuals with POC international normalized ratio measurements furnishes another example of self-testing. A meta-analysis of 11 randomized controlled trials showed significant reductions in the risk for thromboembolic events (hazard ratio: 0.51; 95% confidence interval [CI]: 0.31 to 0.85), with no increase in major hemorrhagic events or death (hazard ratios: 0.88; 95% CI: 0.74 to 1.06; and 0.82; 95% CI: 0.62 to 1.09, respectively) in patients who self-monitored compared with patients who did not (56). In addition, the coupling of self-monitoring with self-management and dosing was associated with greater risk reductions. Thus, although self-monitoring of the international normalized ratio may not benefit all patients, wider access and availability of testing in the home can strengthen the effectiveness of care.

Example 2: connected diagnostics—wearables, smartphones, and the “Internet of Things.” Wearables. The ability to position sensors onto a patient and into the clothing they wear has powerful potential to transform our understanding of CV health and disease. These categories of POC devices, sometimes termed “wearables,” a subset of the “internet of things,” the “Internet of Things,” have captured the imagination of physicians and patients alike. The earliest versions of internet-connected home health devices simply adapted conventional diagnostics previously used in the clinic or hospital, such as blood pressure cuffs, heart rate monitors, scales, pedometers, oximeters, and positive pressure ventilation controllers. Telehealth programs and chronic disease management practices developed systems to monitor data from these sensors, provide feedback regarding results to providers and/or patients, and encourage compliance using reminders. More recently, wearable technologies such as wristbands and watches equipped with integrated microscale accelerometers have enabled activity monitoring, performance feedback, and the ability to annotate data with subjective measures of health for sharing with friends, family, health care providers, or the world.

High-performance electronic circuits play an essential role in home health devices, but rigid circuit boards and wires present a barrier to miniaturization and integration into ambulatory-sensing solutions. The recent development of a flexible electronics platform permits the fabrication of high-functioning electronic devices into thin flexible tattoo-like transparent films that adhere to the skin (57,58,63,64). The durability of initial prototypes underwent testing in challenging locations such as the elbow without signal degradation over days despite repeated extension and flexion. Transmission of the electrocardiogram and electroencephalogram
confirmed the functional utility of the device. Optimization of such technologies should permit continuous remote measurements in outpatients. Monitoring of atrial fibrillation could benefit from such advances. The burden of atrial fibrillation and the frequency of atrial fibrillation paroxysms likely correlate with stroke risk (59,60). The emergence of minimally invasive heart rate and rhythm-monitoring devices on the basis of flexible electronics and other technologies offers a unique opportunity to document longitudinally patient rhythms in relation to other life events (61). Such information would enhance our understanding of triggers associated with arrhythmia onset and termination and aid patient management.

Internet of Things. A movement termed the “Internet of Things” aims to convert the home into a densely interconnected environment with embedded sensors in everyday objects that can monitor, communicate, and connect the environments in which we live. As an example of this technology, bedroom-embedded sleep monitors aim to optimize rest and detect sleep-disturbed breathing. The embedded sensing concept, although still in its earliest stages, has excited CV care providers with the possibility of devices that will monitor high-risk patients, identify early warning signs of decline, and prompt early intervention that may avoid more severe decompensation.

Management of heart failure is particularly poised to benefit from emerging home health technologies (62). Heart failure affects more than 5 million U.S. patients, triggers >1 million hospitalizations annually, and associates with remarkably high rehospitalization rates (~25% at 30 days and ~50% by 6 months) (63). Because weight gain often precedes hospitalization by days to weeks, some guidelines recommend that patients weigh themselves daily at home. Unfortunately, adherence to this recommendation remains poor; in a recent large-scale clinical trial, compliance with telemonitoring fell from 90% to 55% by 6 months despite implementation of an aggressive reminder system (64). This deficiency challenges disease management teams and practitioners caring for patients at home. Hence, a need exists to develop devices that monitor activity and/or weight without proactive patient participation. Doing so should improve the regularity of home testing and may avert unnecessary hospitalizations through early detection of volume overload (Figure 6).

Medication compliance also received early attention. Adherence to recommended pharmacological therapy remains an important but often unappreciated challenge of outpatient CV care. This challenge spurred the development of Wi-Fi-connected pill bottle caps and internet-connected sealable blister packs, inhalers, or injectables to provide new windows into patient medication compliance. This capability enables study of whether incentivization strategies and gamification can improve adherence to daily medications such as statins. Although recording patient medication access times does not directly reveal ingestion, these data nevertheless provide previously unobtainable information about patient medication habits at home. To measure more directly medication adherence, developers have created a microchip sensor-enabled pill with Food and Drug
HUMAN PHENOTYPING FROM THE GENOMIC INFORMATION AND EMPLOY MORE COMPLEX HORTS, REPORTS SUGGEST THAT THE STUDY WILL COLLECT HEALTHY AND WHAT DETERMINES DISEASE TRAJECTORIES. AS WHICH AIMS TO UNDERSTAND WHAT KEEPS PEOPLE ANNOUNCED AN AMBITIOUS PROJECT, THE BASELINE STUDY, GOOGLE X, DUCK, AND STANFORD ALSO RECENTLY RECORD DIETARY HABITS AND MEDICATION ADHERENCE. MONITOR ACTIVITY, SELF-RECORD A 6-MIN WALK TEST, AND THE INITIAL OFFERINGS AND FEATURE THE ABILITY TO DIABETES MANAGEMENT (GLUCOSUCCESS) WERE AMONG RESEARCH VIA THEIR SMARTPHONES AND iPADS. APPLICATIONS FOCUSING ON CV DISEASE (MYHEART COUNTS) AND DIABETES MANAGEMENT (GLUCOSUCCESS) WERE AMONG THE INITIAL OFFERINGS AND FEATURE THE ABILITY TO MONITOR ACTIVITY, SELF-RECORD A 6-MIN WALK TEST, AND RECORD DIETARY HABITS AND MEDICATION ADHERENCE. GOOGLE X, DUCK, AND STANFORD ALSO RECENTLY ANNOUNCED AN AMBITIOUS PROJECT, THE BASELINE STUDY, WHICH AIDS TO UNDERSTAND WHAT KEEPS PEOPLE HEALTHY AND WHAT DETERMINES DISEASE TRAJECTORIES. AS THE PILOT PHASE OF THE STUDY GIVES WAY TO LARGER COHORTS, REPORTS SUGGEST THAT THE STUDY WILL COLLECT GENOMIC INFORMATION AND EMPLOY MORE COMPLEX HUMAN PHENOTYPING FROM THE “STUDY KIT” APPLICATION, ASSOCIATED DEVICES, AND EVEN WEARABLES SUCH AS THE MUCH ANTICIPATED “SMART” CONTACT LENSES. THESE INNOVATIONS EXTEND THE POC CONCEPT TO EVERYDAY LIFE AND PROVIDE ENORMOUS POTENTIAL FOR MINING “BIG DATA” FOR HEALTH PURPOSES AT A POPULATION LEVEL, BUT ALSO ENABLING PRECISION MEDICINE OR PERSONALIZED MANAGEMENT FOR THE INDIVIDUAL.

**EXAMPLE 3: INVASIVE OUTPATIENT HEALTH MONITORS.** Implanted monitors are inherently invasive and, therefore, require careful consideration of safety before use. Once placed, however, this class of monitoring devices makes several potentially powerful biomarkers available to providers longitudinally across time. For example, implantable rhythm recorders as well as conventional pacemakers and implantable cardiac-defibrillators can detect rare but concerning paroxysmal ventricular arrhythmias as well as exhaustively profile the timing and burden of chronic arrhythmias such as atrial fibrillation. An ambulatory intrathoracic impedance monitor and associated algorithm attempt to identify thresholds and temporal signatures of impedance changes that predict worsening heart failure (67–69). Another device still in development directly measures left ventricle filling pressures in the left atrial appendage, but requires transseptal puncture for device placement. The data, which are transmitted to a hand-held patient advisory tool, can then guide medication dosing changes according to an algorithm. Taking a different approach, a new FDA-approved implantable pulmonary artery pressure monitor can be placed during a right heart catheterization and does not require a transseptal puncture. In the COMPASS-HF (CHRONIC OFFERS MANAGEMENT TO PATIENTS WITH ADVANCED SIGNS AND SYMPTOMS OF HEART FAILURE) trial that evaluated this technology, increases in PA pressure were reportedly more sensitive and specific, and they anticipated weight increase associated with decompensation (70,71). Support for device approval largely stems from the CHAMPION (CARDIOMEMS HEART SENSOR ALLOWS MONITORING OF PRESSURE TO IMPROVE OUTCOMES IN NYHA CLASS III HEART FAILURE PATIENTS) trial, which demonstrated that in New York Heart Association functional class III heart failure patients hospitalized in the past 12 months, management guided by the pulmonary artery pressure monitor significantly reduced heart failure admissions (72).

**PRACTICAL ASPECTS OF POC IMPLEMENTATION**

**CLINICAL TESTING AND VALIDATION OF POC.**

POCT development must balance the enthusiasm for promising new diagnostic platforms with the need for rigorous validation studies. Adoption of a new device should depend on demonstrated performance compared with reference standards of care to ensure
that it provides similar or improved clinical utility. Even if POC diagnostics cannot demonstrably alter hard outcomes such as survival, they may provide added value by limiting lengths of stay, reducing readmissions, avoiding unnecessary invasive tests, boosting physician and/or patient satisfaction, improving quality of life, or benefiting other aspects of health care delivery, cost, or comparable metrics. The success or failure of a POC diagnostic depends critically on establishing clearly stated goals and conducting rigorous research to evaluate its ability to meet pre-specified objectives. Anticipating potential risks of POCT has equal importance. For example, more diagnostic availability could conceivably increase testing volume and cost. More testing may also trigger more false positive results and lead to more invasive downstream testing, which would needlessly alarm patients and practitioners alike. Research that addresses such health systems and patient-provider communication issues associated with POCT could vitally and meaningfully affect patient care.

**ETHICAL AND REGULATORY CONSIDERATIONS.** Although POCT testing offers many advantages as a clinical tool, decentralization of diagnostic testing may require new regulations to maintain procedural standardization, adherence to calibration standards, and maintenance of patient privacy. The FDA, in its oversight role, has provided related guidance such as the “regulatory oversight framework for laboratory developed tests” and the “mobile medical application policy” (73,74). Practitioners should also be aware that under current regulatory requirements, POC devices are not necessarily waived under CLIA (Clinical Laboratory Improvement Amendments) simply because of use at the point of care. The use of POC devices for clinical research requires development of a policy regarding sharing of results with participants.

Protecting the health information of patients remains fundamental to clinical care by ethics and statute. The design, ownership, and operation of new POC devices requires cooperation by multiple partners to capitalize on the data collected without compromising patient privacy. These concerns mirror those currently encountered with widespread adoption of electronic health records. Yet, the mobile nature of POC devices decentralizes privacy preservation and entrusts sensitive patient data to a broad range of individuals spanning health care specialists to POC data management service providers. The active research of the HHS suggests the need to update provisions of the Health Insurance Portability and Accountability Act of 1996, now influenced by challenges related to the rapid developments of health information technology, including implementation of electronic medical records and mobile technologies for health care (75).

**POCT AS A CLINICAL RESEARCH TOOL**

POCT have considerable potential to enhance clinical research. Biomarkers can contribute to clinical trials by complementing clinical endpoints with interim measurements that can deepen understanding of interventions. In this context, POC diagnostics offer powerful opportunities to enrich biomarker collection during the conduct of well-controlled clinical trials with carefully adjudicated endpoints. Ambulatory monitoring devices such as mobile device applications, wearable monitors, and home-based sensors remain some of the most attractive POCT categories as aids to clinical investigation. Unlocking the vast assortment of uncaptured ambulatory data presents an opportunity for POC devices to enrich substantially clinical trial data collection. Similarly, biofluid sampling during clinical trials remains a valuable resource when paired with carefully curated patient populations meeting well-defined entry criteria that can correlate with carefully adjudicated endpoints. Yet, limits pertain to the number of biofluid biomarkers that conventional assays can measure. POC technologies that seek to multiplex biomarker measurements have a tremendous potential to maximize the information yield from these scarce samples and facilitate biomarker discovery, biomarker validation, and mechanistic insight.

Additional benefits of POCT in clinical research include enabling novel patient recruitment pathways by facilitating screening for eligibility criteria without requiring centralized testing or return visits. POCTs also offer flexible pathways for baseline and follow-up data collection, providing opportunities to improve clinical trial quality control through longitudinal and site-specific monitoring of study protocol compliance. POC diagnostics could expand opportunities for clinical trial participation beyond heavily populated urban areas, where trial coordination is typically centered. Doing so will reduce the inherent selection bias associated with existing geographic constraints and make trial results more relevant to a broader population. The National Institutes of Health has initiated funding for several clinical trials to test such technologies; continued development of this approach will represent an important advance in CV clinical research (see Table 1 for examples).

Clinical research in the developing world often focuses on communicable diseases; however, CV disease remains the leading cause of death worldwide and does not spare low- and middle-income
countries (6). In resource-limited environments where geographic and financial constraints limit the availability of centralized laboratories, catheterization facilities, and specialty CV care, the relationship between diagnostic testing and CV disease management differs dramatically from heavily populated urban centers. Thus, these settings will require dedicated clinical research to understand how POCT can answer the special needs of these environments. In principle, POCTs that minimize instrumentation and infrastructure demands offer particular promise in these settings. Several platforms in development that use “lab-on-a-chip” technologies featuring integrated sample acquisition, processing, and measurement may address these needs. Those that interface with smart phone technologies are particularly attractive given the broad availability of cell phones in rural areas and the developing world (50).

**ROADMAP FOR CV CLINICAL RESEARCH**

**POCT DEVICE DEVELOPMENT**

POCTs have tremendous potential to advance CV care. Realizing this potential will require a funding environment that incentivizes engineering solutions beyond mere proof of concept demonstrations and cultivates them throughout the full technology development life cycle. Through close collaboration, engineers and health care providers must work together to ensure that innovative POCT devices have a path for clinical testing, regularly undergo quantitative comparison to modern standards of care, and require careful monitoring to achieve POCT goals without making excessive performance compromises. The NHLBI occupies an ideal position to incentivize formation of multidisciplinary teams comprised of health care providers, biomarker scientists, technologists, and clinical trialists to collaborate longitudinally throughout the development process. To guide the activities of these CV POCT teams, the NHLBI WG proposes the following 5-stage CV POCT Development Roadmap. This approach aims to capitalize on advances in biomarker science and emerging sensor technologies to create clinically relevant POCT devices with a defined path for rigorous clinical testing and validation.

1. **Needs identification.** Stage 1 goals include the identification of specific aspects of clinical care that have potential for substantial improvement by POCT “clinical needs” and the articulation of (well-posed) clinical problems that carefully describe the process of testing a specific POCT solution (which patient population, what clinical setting, the methods behind the quantitative measurement of benefits and risks, and the standard[s] of care that will serve as comparison).

2. **Biomarker selection and device design specification.** Stage 2 will bring biomarker scientists and technologists together to take the “well-posed clinical problem” from stage 1 and use it to both select a relevant set of new and/or old biomarker(s) and define design criteria for a corresponding POC measurement device. Defining the clinical objectives early increases the likelihood of success once the technology exists.

3. **Device development.** Stage 3 will focus on development of component technologies and system integration, leading to a functional POCT measurement device. Guided by the specifications defined in stage 2, an iterative process of design, fabrication, and testing of key technologies and individual components will be undertaken. This will culminate with a system integration process that will require additional engineering and performance characterization using simplified models of the relevant human biomarkers.

4. **Pilot testing.** Stage 4 will use the POC solution developed in stage 3 to perform pilot testing on clinical samples or small-scale patient populations. This effort will help identify obstacles associated with real-world biosamples, identify normal ranges and intervention thresholds, highlight data management issues, reveal unanticipated human factors, and provide initial data on device usability in a real-world clinical setting. An iterative process of device refinement and repeat pilot testing will prepare the technology for rigorous clinical testing in stage 5.

5. **Prospective clinical testing.** Stage 5 will test and validate the technology in “real-world” health care settings. Assessment of device efficacy as well as liabilities and risks remains the overall goal. Because design tradeoffs are device- and application-specific, the criteria for success will need to be defined on a case-by-case basis (defined during stages 1 and 2). Acceptance of methodologies and validation studies for all new diagnostics including POC should require transparency and peer-reviewed publication.

**RECOMMENDATIONS FOR NHLBI CV POCT PRIORITIES**

- Support Stage 1 activities with the engagement of the broad CV and bioengineering communities in pre-competitive CV POCT needs-assessment activities. This action would ideally extend beyond a
single meeting to include the creation of a forum for an ongoing electronic and living discussion that encourages broad participation from diverse backgrounds and spans multiple training levels. A multidisciplinary team of experts can consolidate, curate, and refine contributions to create a focused list of Cardiovascular POCT Grand Challenges that correspond to the most promising “well-posed clinical problems.” The most attractive Challenges will ideally extend beyond modest reductions in assay sample volume or increased portability of existing biomarker measurements, and instead pursue ambitious goals that ensure that novel CV POCT can improve dramatically CV care. Challenges that offer an opportunity for the CV POCT community to coalesce around a small number of high-impact problems are most likely to inspire development of high impact technologies.

- Support stage 2 activities with the funding of biomarker science (basic discovery and validation of novel CV biomarkers) and novel sensing technologies (methods for detecting and quantifying a variety of biomarkers from diverse patient-derived samples). This fundamental work will cut across individual clinical POC devices and provide a scientific and technological basis for integrated POC solutions.

- Support stage 3 activities with the funding of specific implementations of biomarker-sensing solutions that range from individual sensing components to complex, fully integrated POC sensing platforms that perform “sample-to-answer” measurements from clinically relevant specimens.

- Support stage 4 activities by enabling pilot testing of integrated “sample-to-result” technologies developed in stage 3 via small-scale, proof-of-concept studies in preparation for larger-scale prospective POC testing in stage 5. Support could include facilitating access to annotated clinical specimens. Such pilot testing can also help determine reference values for POC tests in relevant populations, encourage harmonized data standards and reporting of POC tests, and determine the data and technical integration needs at different levels (e.g., technical, systems, patient data, and population).

- Support stages 4 and 5 with core data storage, data processing, privacy, and analytics that will permit the transmittance of POC test results to and from patients and providers to realize their power without compromising patient privacy.

- Integrate stage 4 and 5 POCT activities with ongoing or planned clinical studies funded by the NHLBI using funding mechanisms such as ancillary studies or Small Business Innovation Research/ Small Business Technology Transfer grants, and make existing clinical data and relevant biobanks accessible to aid validation of POC technologies against established centralized laboratory measurements.

- Assess standards for evaluation and clinical use of POC tests through the establishment of application-specific standards of quality, efficiency, affordability, accessibility, and safety of devices and data (in coordination with other appropriate agencies.)

- Fund career development activities, program formation, and industry surrounding novel biomarker science and POC technology development that provides the foundation for new POC opportunities on the basis of previously unrecognized or unmeasurable biomarkers.

POCT possess the potential to transform medical research and patient care. Implementation of a research and development program as proposed in the roadmap and recommendations that emerged from this WG can accelerate the realization of this promise.

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